

Ensuring Integrity in Orthopaedic Research: Addressing Unethical Practices and Promoting Ethical Standards

Raju Vaishya,¹ Janki Sharan Bhadani,² Abhishek Vaish,¹ Pramod Joshi³

¹Department of Orthopaedics, Indraprastha Apollo Hospitals, New Delhi, India, ²Department of Orthopaedics, Paras HMRI Hospital, Patna, Bihar, India, Nepal ³Health Research Council, Ramshahpath, Kathmandu, Nepal.

ABSTRACT

This review discusses the prevalence of these unethical behaviours, their far-reaching consequences on patient care, and the Orthopaedic field's credibility. It highlights the need for effective strategies to promote ethical standards, emphasizing the importance of awareness among researchers and clinicians. The article outlines specific unethical practices, including data manipulation, which distorts treatment efficacy, and plagiarism, which diminishes originality and wastes peer review resources. Additionally, it addresses biased reporting and conflicts of interest, which can compromise objectivity in research findings. The review advocates for collaborative efforts among institutions and journals to foster accountability and transparency, ultimately encouraging a culture of ethical conduct within the Orthopaedic community. By ensuring rigorous standards and practices, the future of Orthopaedic research can be aligned with patient safety and trust, propelling the field toward meaningful advancements in patient care and treatment outcomes.

Keywords: Conflict of interest; data fabrication; ethics; plagiarism; research; research Integrity.

INTRODUCTION

Scientific research is fundamental to medical advancements, driving innovations that improve patient care and outcomes. In Orthopaedics, research is crucial in enhancing surgical techniques, developing novel therapies, and improving patient recovery. Exploring new methods and technologies fosters better practices that can alleviate pain, restore mobility, and ultimately enhance the quality of life for patients suffering from musculoskeletal conditions. However, the integrity of Orthopaedic research is continually threatened by unethical practices, including data fabrication, biased reporting, plagiarism, and conflicts of interest.¹ These practices compromise research validity, public trust, and patient safety, hindering clinical advancements. Consequently, the integrity of the entire field is put at risk, with implications that can hinder advancements in medical practice. This review highlights various unethical practices associated with Orthopaedic research, addressing intentional and unintentional violations. It seeks to explore the far-reaching consequences of these unethical behaviours, which can lead to misguided clinical decisions and compromised patient care.

Orthopedic research has several ethical challenges, and practical strategies to address them are urgently required. Specifically, it is crucial to identify and describe various unethical practices, evaluate their impact on research credibility and patient safety, and raise awareness among researchers and clinicians about the importance of ethical conduct. Additionally, practical solutions are needed to foster a culture of accountability and transparency within the Orthopaedic community. By encouraging collaborative efforts among institutions and journals, high ethical standards can be upheld in medical research, fostering a sense of hope and optimism for the future of our field.

UNETHICAL PRACTICES IN ORTHOPAEDIC RESEARCH

In the rapidly evolving field of Orthopaedics, the advancement of medical knowledge is primarily driven by rigorous scientific research. However, alongside its innovation potential, this field also faces a significant threat from various unethical practices that can undermine research integrity. As stewards of patient care and medical advancement, these challenges must be confronted head-on to ensure that scientific

Correspondence: Dr Raju Vaishya, Department of Orthopaedics, Indraprastha Apollo Hospital, New Delhi, India. Email: raju.vaishya@gmail.com.

endeavours enhance, rather than compromise, patient safety and trust. Unethical research undermines the credibility of Orthopaedics, compromises patient safety, and wastes resources.^{2,3} Common violations include data manipulation, plagiarism, conflicts of interest, etc. (Figure 1), which lead to compromised patient care and diminished trust.

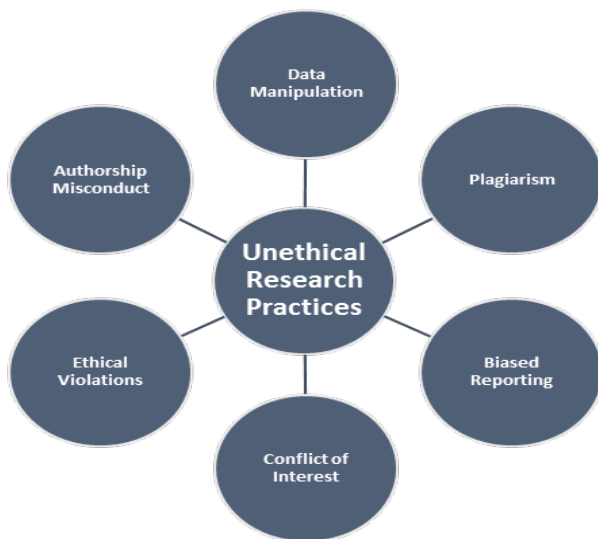


Figure 1. Common Unethical Research Practices.

Some of the common unethical research practices involved are discussed ahead:

Data Manipulation: It can take several forms, including falsifying outcomes, excluding unfavourable data, or selectively reporting results that support a desired conclusion. Such actions can create a distorted view of the efficacy and safety of treatments, leading clinicians to make decisions based on misleading data, ultimately compromising patient care. To combat this, institutions must implement independent data audits and rigorous protocols for transparent reporting, ensuring all data is available for scrutiny.⁴

Plagiarism: Plagiarism is the act of presenting someone else's work—whether words, ideas, or graphics—as your own without proper attribution. It undermines the integrity of scholarly work, affecting its quality, readability, and trustworthiness. This unethical practice includes direct copying, insufficient paraphrasing, and failure to cite sources, which can have serious academic and professional consequences.⁵

Biased Reporting: Here, the researchers selectively present favourable results while downplaying or omitting negative findings—further complicating the

landscape of Orthopaedic research. This skews the evidence and affects clinical guidelines and treatment protocols. Implementing mandatory trial registration and promoting open reporting of all results, regardless of the outcome, can help mitigate this issue.⁶

Conflict of Interest: It arises when researchers allow sponsorship or funding to influence their conclusions. Undisclosed financial relationships can erode public trust in scientific findings and bias outcomes, raising ethical questions about the validity of published research. Whole disclosure policies and separating funding sources from research processes are essential to maintaining objectivity and trustworthiness.⁷

Violation of Participants' Autonomy: Lack of informed consent and unsafe research protocols can put patients at risk. Researchers and ethics committees must ensure participant safety and uphold the principles of informed consent before any study commences. Regular oversight and participant safety reviews ensure that patient welfare remains paramount in all research endeavours.⁸

Authorship Misconduct: Unethical authorship practices, such as excluding significant contributors or granting undue authorship, undermine academic integrity and discourage collaboration. Authorship conflicts in research arise from disagreements about who should be included as an author, the order of authors, and intellectual property rights. Common types include 'ghost authorship,' where contributors are excluded, and 'guest authorship,' where individuals who did not contribute significantly are included. Disputes over the order of authors, which often reflects the contribution level, are also frequent. These conflicts can damage reputations and research integrity. To minimize these issues, clear communication and adherence to ethical guidelines, such as those outlined by the International Committee of Medical Journal Editors (ICMJE), are crucial. The ICMJE guidelines provide a framework for determining authorship, emphasizing that authors must have made substantial contributions to the conception or design of the work or the acquisition, analysis, or interpretation of data or the drafting or critical revision of the manuscript for important intellectual content; and have been involved in the final approval of the version to be published. Adhering to these guidelines can help prevent authorship disputes and ensure all contributors are appropriately recognized. Establishing transparent authorship criteria and resolution mechanisms can help ensure that credit is appropriately assigned, fostering a healthier academic environment. The ethical landscape of Orthopaedic research is fraught with challenges that require vigilance and proactive measures.⁹

Addressing these challenges of research misconduct and unethical practices requires robust ethical standards, transparency, and accountability mechanisms.

Table 1 outlines various unethical practices and ethical challenges prevalent in orthopedic research, highlighting their detrimental impact and proposing mitigation strategies. Issues span from direct data manipulation and plagiarism to more nuanced problems like biased

reporting and conflicts of interest.⁴⁻⁷ These actions not only skew research outcomes and mislead clinical practice but also erode trust in the scientific community and potentially endanger patient safety.¹⁰ Solutions emphasize transparency, rigorous oversight, and adherence to ethical guidelines, including independent audits, clear disclosure policies, and robust ethics committee reviews.¹¹

Table 1. Unethical Practices and Ethical Challenges in Orthopedic Research.

Category	Examples	Impact	Solutions
Data Manipulation⁴	Falsifying outcomes, omitting inconvenient data	Misleads clinical care, skews evidence	Independent data audits, transparent reporting
Plagiarism⁵	Presenting someone else's work as your own, without proper attribution	Undermines originality, wastes peer resources	Plagiarism detection tools, strict policies
Biased Reporting⁶	Selective result presentation	Skews treatment protocols	Mandatory trial registration, open reporting
Conflict of Interest⁷	Sponsor-driven conclusions, undisclosed funding	Erodes trust, biases outcomes	Full disclosure policies, funding separation
Ethical Violations⁸	Lack of informed consent, unsafe protocols	Endangers patients, invalidates results	Ethics committee oversight, participant safety reviews
Authorship Misconduct⁹	Excluding contributors, honorary authorship	Demoralizes researchers, distorts credit	Transparent authorship criteria, resolution mechanisms
Predatory Publishing^{2,12,13}	Lack of peer-review and verification of findings	Dissemination of low-quality research	Avoid publishing in low-quality journals, verify peer-review process
Placebo-Controlled Surgical Trials¹⁴	Ethical dilemmas in using placebo controls in surgical interventions	Potential harm to patients, questionable scientific validity	Rigorous ethical reviews, informed consent, patient welfare focus
Scientific Misconduct⁴	Fabrication, falsification, and plagiarism in Orthopaedic research	Undermines the integrity of research	Strict data verification, enforcement of ethical guidelines, audits
Experimental Procedures¹⁵	Unclear risk-benefit ratio, sham surgeries	Potential harm to patients, ethical concerns	Transparent risk communication, thorough ethical reviews, informed consent
Balancing Innovation & Safety³	Learning curve risks, MCID (Minimal Clinically Important Difference) limitations	Potential harm to patients, difficulty in determining clinical significance	Transparent data collection, patient education on risks
Informed Consent & Patient Autonomy⁸	Misunderstood risks, coercion in consent process	Violation of patient rights, potential harm	Clear, unbiased communication, respect for patient rights
Data Privacy & Integrity¹⁶	Confidentiality breaches, data manipulation	Loss of trust, potential harm to patients	Strict data protocols, independent audits, transparency
Whistleblowing¹⁷	Fear of retaliation, underreported concerns	Suppression of legitimate concerns, potential harm to patients	Confidential reporting channels, robust protection for whistle-blowers

Furthermore, The ethical dilemmas specific to orthopedics, such as using placebo-controlled surgical trials and the balance between innovation and patient safety, need addressing.³ Challenges related to informed consent, data privacy, and the protection of whistleblowers need examination.^{8,16,17} The proposed mitigation strategies focus on ensuring patient autonomy, maintaining data integrity, and fostering a culture of ethical accountability through clear communication, strict protocols, and secure reporting mechanisms. These findings highlight the importance of upholding stringent ethical standards to ensure the integrity and reliability of orthopedic research.

The COVID-19 pandemic saw a surge in scientific research and a concerning rise in the retraction of published papers. These retractions stemmed from issues like data integrity problems, plagiarism, authorship disputes, and premature conclusions, often driven by the pressure to rapidly publish findings (as a part of the culture of '*publish or perish*').^{18,19} This trend undermines public trust in science and highlights the crucial need for robust peer review, data transparency, and rigorous research methodologies, even during times of crisis. An analysis of COVID-19 research published on PubMed and tracked by Retraction Watch reveals a significantly higher rate of retractions than in other research areas related to viral outbreaks. This rate exceeds the typical retraction rate, estimated at around 4 per 10,000 papers.^{19,20} This is a reminder to maintain the highest work standards, peer review, and publication. Plagiarism has led to several notable retractions in orthopaedic research, highlighting the critical need for maintaining academic integrity. A systematic review by Yan et al. revealed

that retractions in orthopaedic research are increasingly prevalent, with plagiarism and data falsification identified as the most common causes, emphasizing the critical need for robust ethical oversight and preventive measures in the field.²¹

ETHICAL RESPONSIBILITIES IN ORTHOPAEDICS RESEARCH: ROLES OF JOURNALS, EDITORS, AND INSTITUTIONS

In Orthopaedics research, ethical integrity requires a multi-faceted approach involving collaboration between researchers, institutions, journals, and funding bodies. Journals play a pivotal role by enforcing rigorous peer review and ensuring submitted research meets ethical and scientific standards.¹³ They also require mandatory data sharing for validation, promoting transparency, and fostering trust within the research community. Institutions further support ethical research by developing and enforcing comprehensive misconduct policies to address data fabrication, falsification, and plagiarism.²² Empowered Institutional Review Boards (IRBs) ensure ethical oversight, balancing scientific rigour with participant safety.²³

Regular ethics training keeps researchers updated on guidelines, promoting a culture of responsibility. When necessary, journals also retract flawed studies, reinforcing accountability and maintaining the integrity of the scientific record. The Orthopaedics research community can uphold high standards and deliver trustworthy, impactful results by fostering collaboration and strengthening ethical frameworks²⁴ [Table 2].

Table 2. Key Areas and Actions for Upholding Research Ethics.

Area	Key Focus	Action/Impact
Collaboration¹³	Collaboration among researchers, institutions, journals, and funding bodies to uphold ethical standards.	Ensures research transparency, data integrity, and adherence to ethical principles, leading to better outcomes.
Global Standards²²	Adoption of global ethical guidelines (e.g., CIOMS) to ensure consistent practices worldwide.	Promotes rigor and ethical consistency across regions, reducing misconduct.
Peer Review¹³	Editors enforce rigorous peer review to ensure ethical and scientific quality of manuscripts.	Safeguards research credibility, filters flawed studies, and maintains integrity in published works.
Data Sharing²³	Encouragement of mandatory data sharing for validation while protecting participant confidentiality.	Builds transparency, trust, and facilitates collaboration within the research community.
Retracting Studies^{24,25}	Editors are responsible for retracting flawed or unethical studies to maintain scientific integrity.	Promotes accountability, prevents misinformation, and restores trust in the research process.
Misconduct Policies²⁶	Institutions create and enforce policies to address research misconduct, including fabrication and plagiarism.	Establishes a clear framework for handling unethical practices and ensures research quality.
Ethics Training³	Ongoing workshops and training programs for researchers to stay updated on ethical guidelines.	Instils a culture of integrity and responsibility, reinforcing ethical decision-making in research.
Empowered IRBs²³	Strengthening Institutional Review Boards (IRBs) to provide balanced, diverse ethical oversight of research.	Enhances participant safety, ethical review, and ensures ethical standards are upheld in clinical studies,

The advent of artificial intelligence (AI) has revolutionized various fields, including scientific research. AI can help accelerate discoveries, automate tasks, and improve accuracy. However, the misuse of AI tools can lead to significant ethical challenges and forms of academic misconduct. For instance, AI algorithms can fabricate or manipulate data, leading to misleading research findings. Furthermore, the ability of AI to generate realistic text can facilitate plagiarism and the creation of fraudulent research papers. It raises concerns about the integrity of scientific research and the potential for AI-driven misconduct to undermine public trust in scientific findings. To maximize the benefits and minimize the risks, it is crucial to develop and adhere to ethical guidelines, promote transparency in AI research, and invest in responsible AI development.^{27,28}

To effectively mitigate unethical research practices in Orthopaedics, it is essential to implement a multi-faceted approach (**Figure 2**) that includes the establishment of rigorous ethical guidelines, enhanced training and education for researchers, and robust peer review processes.²⁸⁻³⁰



Figure 2. Suggestions to mitigate unethical research practices^{3,17,29,30}

CONCLUSIONS

Various unethical practices, including data manipulation, plagiarism, biased reporting, and conflicts of interest, undermine the integrity of Orthopaedic research. These actions compromise the validity of research findings and pose significant risks to patient safety and the field's credibility. Researchers and institutions must cultivate a culture of accountability and transparency to combat these issues. Implementing rigorous ethical standards, independent audits, and mandatory trial registrations can help mitigate these concerns. By prioritizing ethical conduct, the Orthopaedic community can enhance public trust and improve patient care and innovation in treatment outcomes.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

REFERENCES

1. Dubin JA, Hameed D, Baksh N, Bains SS, Mont MA, Nace J, Delanois RE, Golladay G. Impact of reporting bias, conflict of interest, and funding sources on quality of orthopaedic research. *The Journal of Arthroplasty*. 2024 May 1;39(5):1348-52. doi: 10.1016/j.arth.2023.11.017
2. Kamal AH. Do Orthopaedics surgeons have any idea what predatory journals are? :(cross-sectional study). *Heliyon*. 2024;10(5): e26448. Published 2024 Feb 19. doi: 10.1016/j.heliyon. 2024.e26448

3. Vaishya R, Scarlat MM, Bhadani JS, Vaish A. Ethics in orthopaedic surgery practice: balancing patient care and technological advances. *Int Orthop*. 2024;48(11):2769-2774. doi:10.1007/s00264-024-06335-w
4. George SL, Buyse M. Data fraud in clinical trials. *Clin Investig (Lond)*. 2015;5(2):161-173. doi:10.4155/cli.14.116
5. Zimba O, Gasparyan AY. Plagiarism detection and prevention: a primer for researchers. *Reumatologia*. 2021;59(3):132-137. doi:10.5114/reum.2021.105974
6. Mitra-Majumdar M, Kesselheim AS. Reporting bias in clinical trials: Progress toward transparency and next steps. *PLoS Med*. 2022;19(1): e1003894. Published 2022 Jan 19. doi: 10.1371/journal.pmed.1003894
7. Resnik DB, Konecny B, Kissling GE. Conflict of Interest and Funding Disclosure Policies of Environmental, Occupational, and Public Health Journals. *J Occup Environ Med*. 2017;59(1):28-33. doi:10.1097/JOM.0000000000000910
8. Mehta P, Zimba O, Gasparyan AY, Seil B, Yessirkepov M. Ethics Committees: Structure, Roles, and Issues. *J Korean Med Sci*. 2023;38(25): e198. Published 2023 Jun 26. doi:10.3346/jkms.2023.38. e198
9. Quaia E, Crimi F. Honorary Authorship: Is There Any Chance to Stop It? Analysis of the Literature and a Personal Opinion. *Tomography*. 2021;7(4):801-803. Published 2021 Nov 15. doi:10.3390/tomography7040067
10. Gopal DP, Chetty U, O'Donnell P, Gajria C, Blackadder-Weinstein J. Implicit bias in healthcare: clinical practice, research and decision making. *Future Healthc J*. 2021;8(1):40-48. doi:10.7861/fhj.2020-0233
11. Varkey B. Principles of Clinical Ethics and Their Application to Practice. *Med Princ Pract*. 2021;30(1):17-28. doi:10.1159/000509119
12. Richtig G, Berger M, Lange-Asschenfeldt B, Aberer W, Richtig E. Problems and challenges of predatory journals. *J Eur Acad Dermatol Venereol*. 2018;32(9):1441-1449. doi:10.1111/jdv.15039

13. Zhaksylyk A, Zimba O, Yessirkepov M, Kocyigit BF. Research Integrity: Where We Are and Where We Are Heading. *J Korean Med Sci.* 2023;38(47): e405. Published 2023 Dec 4. doi:10.3346/jkms.2023.38.e405
14. Tenery R, Rakatansky H, Riddick Jr FA, Goldrich MS, Morse LJ, O'Bannon III JM, et al. Surgical "placebo" controls. *Annals of surgery.* 2002 Feb 1;235(2):303-7. doi:10.1097/00000658-200202000-00021
15. Miller FG. Sham surgery: an ethical analysis. *Am J Bioeth.* 2003;3(4):41-48. doi:10.1162/152651603322614580
16. Gostin L. Health care information and the protection of personal privacy: ethical and legal considerations. *Ann Intern Med.* 1997;127(8 Pt 2):683-690. doi:10.7326/0003-4819-127-8_part_2-199710151-00050
17. Bolsin S, Pal R, Wilmshurst P, Pena M. Whistleblowing and patient safety: the patient's or the profession's interests at stake? *J R Soc Med.* 2011;104(7):278-282. doi:10.1258/jrsm.2011.110034
18. Vaishya R, Vaish A. Striking a balance: Navigating the benefits and pitfalls of "publish or perish." *J Bone Joint Dis.* 2024;39(1):129-131. doi: 10.4103/jbjd.jbjd_38_24
19. Yeo-Teh NSL, Tang BL. An alarming retraction rate for scientific publications on Coronavirus Disease 2019 (COVID-19). *Account Res.* 2021;28(1):47-53. doi:10.1080/08989621.2020.1782203
20. Peterson CJ, Alexander R, Nugent K. COVID-19 article retractions in journals indexed in PubMed. *Am J Med Sci.* 2022;364(1):127-128. doi: 10.1016/j.amjms.2022.01.014
21. Yan J, MacDonald A, Baisi LP, Evaniew N, Bhandari M, Ghert M. Retractions in orthopaedic research: A systematic review. *Bone Joint Res.* 2016;5(6):263-268. doi: 10.1302/2046-3758.56.BJR-2016-0047
22. Resnik DB, Rasmussen LM, Kissling GE. An international study of research misconduct policies. *Account Res.* 2015;22(5):249-266. doi:10.1080/08989621.2014.958218
23. Grady C. Institutional Review Boards: Purpose and Challenges. *Chest.* 2015;148(5):1148-1155. doi:10.1378/chest.15-0706
24. Ehni HJ, Wiesing U. Research ethics for a globalised world: the revised CIOMS international guidelines. *Indian J Med Ethics.* 2017;2(3):165-168. doi:10.20529/IJME.2017.046
25. Mukhopadhaya J, Bhadani JS, Shyam A. Exploring Ethical Challenges in Orthopedic Practice. *J Orthop Case Rep.* 2024;14(6):4-5. doi:10.13107/jocr.2024.v14.i06.4482
26. Tamuhla T, Lulamba ET, Mutemaringa T, Tiffin N. Multiple modes of data sharing can facilitate secondary use of sensitive health data for research. *BMJ Glob Health.* 2023;8(10): e013092. doi:10.1136/bmjgh-2023-013092
27. Chen Z, Chen C, Yang G, He X, Chi X, Zeng Z, et al. Research integrity in the era of artificial intelligence: Challenges and responses. *Medicine.* 2024 Jul 5;103(27):e38811. doi:10.1097/MD.00000000000038811
28. The Lancet. Safeguarding research integrity. *Lancet.* 2024;403(10428):699. doi:10.1016/S0140-6736(24)00349-0
29. Fiedorowicz JG, Levenson JL, Leentjens AFG. When is lack of scientific integrity a reason for retracting a paper? A case study. *J Psychosom Res.* 2021; 144:110412. doi: 10.1016/j.jpsychores.2021.110412
30. Garg R, Maurya I. Clinical trial registration: An essential step toward transparency in clinical research. *Indian J Anaesth.* 2023;67(4):321-322. doi: 10.4103/ija.ija_234_23